



TITLE:

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# **Factors Affecting the preoperative Diagnosis of Anterior Mediastinal Cysts**

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**Key Words:** anterior mediastinal cystic disease, diagnostic accuracy, fluid content

## **Abbreviations:**

CT = computed tomography

- 1 MRI = magnetic resonance imaging
- 2 FDG-PET = fluorodeoxyglucose positron emission tomography
- 3 ROC = receiver operating characteristic
- 4 AUC = area under the ROC curve
- 5 SD = standard deviation
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## 1    **Abstract**

## 2    **Objective**

3    Although anterior mediastinal cysts are generally benign diseases, the preoperative  
4    diagnosis of these lesions is not necessarily accurate. This study aimed to investigate the  
5    factors affecting the preoperative diagnosis of anterior mediastinal cysts.

## 6    **Methods**

7    We conducted a retrospective analysis of 35 patients with pathologically diagnosed  
8    anterior mediastinal cysts (20 thymic cysts, 7 bronchogenic cysts, 5 pericardial cysts,  
9    and 3 others) that were resected at our hospital and evaluated their preoperative  
10    diagnosis, cyst size, and fluid content.

## 11   **Results**

12   Eighteen, 15, 1, and 1 patient(s) were preoperatively diagnosed with cystic disease,  
13   thymoma, thymic cancer, and teratoma, respectively. Cysts were significantly larger in  
14   the correct diagnosis group ( $40.2 \pm 18.8$  mm) than in the incorrect diagnosis group ( $21.1$   
15    $\pm 10.4$  mm) ( $p = 0.0011$ ). The cut-off value of the cyst size which separates these  
16   groups, as indicated by the receiver operating characteristic curve, was 28 mm, with a  
17   sensitivity and specificity of 0.722 and 0.823, respectively. The diagnostic accuracy for  
18   mucinous cysts was significantly lower ( $p < 0.001$ ) than that for serous cysts.

## 19   **Conclusion**

20   The presence of cysts smaller than 28 mm and mucinous fluid content were possible  
21   factors resulting in inaccurate preoperative diagnosis.

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## 1 Introduction

2           The preoperative diagnosis of anterior mediastinal masses depends on  
3 radiographic imaging because identification by biopsy can be difficult. However, the  
4 diagnostic accuracy of CT and MRI has limited reliability [1]. Although the diagnostic  
5 rate for mediastinal cysts has increased in recent years because of advances in imaging  
6 modalities, every possible diagnostic imaging modality is not always used for them in  
7 the clinical setting because a certain number of mediastinum cysts can be easily  
8 diagnosed with CT alone. The aim of this retrospective study was to evaluate the factors  
9 affecting the preoperative diagnosis of surgically resected anterior mediastinal cysts.

## 11 Subjects

12           Between 2001 and 2013, 211 patients underwent surgical resection of anterior  
13 mediastinal masses at our hospital. In this study, we included 35 patients (16.6%) who  
14 were histologically diagnosed with primary anterior mediastinal cysts, irrespective of  
15 the origin.

## 16 Methods

17           The following characteristics of each patient were analyzed retrospectively:  
18 age, sex, preoperative diagnosis, cyst size, imaging study data (CT, MRI, FDG-PET),  
19 and fluid content, as well as the pathological diagnosis. The cyst size was defined as the  
20 long span of the fluid-filled cyst just after resection. Patients were divided into the  
21 mucinous fluid group and serous fluid group according to the macroscopic findings of  
22 cyst fluid content. Patients with turbid or bloody cyst fluid were included in the

mucinous fluid group and those with clear or yellowish transparent fluid were included in the serous fluid group.

The preoperative diagnoses were provided by thoracic surgeons and were comprehensively based on clinical features and imaging diagnoses made by the radiologists. T2 high and no enhancement with contrast MRI (if performed) and no or faint FDG uptake were the standard diagnostic criterion for cysts.

Results for continuous variables are presented as the median [ranges] or mean  $\pm$  SD and those for categorical variables are presented as numbers. The Mann-Whitney U test was used to compare the results of continuous variables. The chi-squared test or Fisher's exact test was used to compare the results of categorical variables between the two groups. ROC curve analysis was used to evaluate the diagnostic accuracy and to identify a cut-off value at a definite level of true sensitivity against false positivity. All  $p$ -values were two-sided, and  $p$ -values  $< 0.05$  were considered statistically significant. JMP 11 (SAS Institute, Cary, NC) was used for statistical analysis.

This study was approved by the Institutional Review Board of Kyoto University (E1972).

## Results

The subjects included 21 men and 14 women aged 20–79 years (median age, 59.0 years). The preoperative diagnoses included 18, 15, 1, and 1 patient(s) with cystic disease, thymoma, thymic cancer, and teratoma, respectively. The average cyst size was 30.9 mm, but the cysts varied widely in size (5–80 mm). Of these 35 patients, all

patients underwent CT (contrast CT was performed in 12 cases), 17 patients underwent MRI, and 14 patients underwent FDG-PET. The fluid content of the cysts was mucinous, serous, and unknown in 15, 14, and 6 patients, respectively. Concerning the histological etiology, 20, 7, 5, 1, 1, and 1 patient(s) had thymic, bronchogenic, pericardial, parathyroid, lymphoepithelial, and mesothelial cysts, respectively. Additionally, multilocular cysts were identified in 7 patients (6 thymic cysts and 1 pericardial cyst), and unilocular cysts were detected in 28 patients. Only 18 patients (51.4%) were diagnosed correctly with primary mediastinal cystic disease preoperatively, irrespective of the origin (Table 1).

### ***Comparison of the correct and incorrect diagnosis groups***

We defined preoperative diagnoses of primary mediastinal cystic disease irrespective of origin as “correct” and preoperative diagnoses other than primary mediastinal cystic diseases as “incorrect”. The correct group included 18 patients (51.4%), and the incorrect group included 17 patients (48.6%).

There were no significant differences between these 2 groups in terms of sex, age, presence or absence of enhanced CT, presence or absence of MRI imaging data, FDG accumulation, origin of the cyst, category of the cyst, and oblateness on CT examination (oblateness = diameter crossed at right angles/maximum diameter calculated on the basis of the CT scans).

There was a significant difference between the 2 groups in terms of cyst size (40.2 ± 18.8 mm in the correct diagnosis group vs. 21.1 ± 10.4 mm in the incorrect

diagnosis group,  $p = 0.001$ ; (Fig. 1). Serous fluid was observed more frequently in the correct group than the incorrect group ( $p < 0.001$ ). There was also a significant difference between the 2 groups in sex distribution ( $p = 0.027$ ) (Table 2).

### ***Preoperative diagnostic rate according to cyst size***

We analyzed the diagnostic accuracy according to cyst size using ROC curve analysis. A sensitivity of 0.722 and a false positivity value ( $1 - \text{specificity}$ ) of 0.177 comprised the left upper corner of the ROC curve, with the corresponding cut-off value of the cyst size at 28 mm. The area under the ROC curve (AUC) was 0.825 (Fig. 2).

### ***Fluid content in the cyst***

The fluid type was confirmed in 29 of 35 patients, and these patients were retrospectively divided into the mucinous fluid (15 patients) and serous fluid groups (14 patients) according to the type of fluid in the cysts.

The cyst size and oblateness of the cysts (as determined using CT) and the diagnostic accuracy were compared between the 2 groups. Mucinous cysts were significantly smaller ( $p = 0.026$ ) and rounder ( $p = 0.045$ ) than serous cysts. In addition, the accuracy of the preoperative diagnosis for mucinous cysts was significantly lower ( $p < 0.001$ ) than that for serous cysts (Table 3).

### ***Diagnosis by cyst size and fluid content with or without contrast CT***



Although there was no significant difference in the diagnostic accuracy regardless of the presence or absence of CT enhancement (Table 2), contrast CT was apparently superior to non-contrast CT in the diagnostic accuracy for cysts under 28 mm in size or mucinous fluid cysts. Non-contrast CT showed a significantly lower diagnostic rate for cysts under 28 mm in size or cysts with mucinous fluid content. However, incorrect diagnoses often occurred with cysts under 28 mm in size, even with contrast CT (Table 4).

## Discussion

Anterior mediastinal cystic disease is an inclusive term describing heterogeneous cystic diseases that originate from various tissues located posterior to the sternum and anterior to the heart and brachiocephalic vessels. Although this disease includes different pathological entities, these entities have overlapping clinical and radiological features.

Anterior mediastinal cystic disease accounts for 20% of all primary anterior mediastinal diseases and primarily includes thymic, bronchogenic, and pericardial cysts [2]. Differential diagnoses of anterior mediastinal tumors, such as thymic epithelial and germ cell tumors, must be considered. As these anterior mediastinal tumors are often accompanied by cystic lesions [3, 4], the differential diagnosis is often difficult. Percutaneous needle biopsy is difficult because of the tumor localization. Therefore, the pretreatment diagnosis is often dependent on the radiographic findings.

Although most cases are fortuitously identified via CT without any signs or symptoms, large cysts can cause dyspnea as a result of tumor compression [5]. Symptomatic cysts, suspected malignancy, cyst infection, tracheal compression, progressive growth, presence in children, and atypical location or characteristics have been proposed as criteria that signal the requirement of surgical intervention. Notwithstanding, it remains controversial whether asymptomatic unilocular cysts can be observed or resected, as malignancy cannot be ruled out by imaging [6, 7].

For these reasons, the pretreatment diagnosis of anterior mediastinal cysts using imaging methods is essential. The diagnostic accuracy of thymic cysts is reported not to exceed 63% using the combined application of CT and MRI [1]. The diagnostic accuracy using all diagnostic imaging methods is high. In the clinical setting, however, every imaging method is not always selected because some cystic cases can be diagnosed easily with only plain chest CT. Therefore, it is important to investigate factors affecting preoperative diagnosis.

First, we focused on cyst size and analyzed the preoperative diagnostic accuracy according to cyst size using ROC curves to select the cut-off point. Because the AUC of 0.825 was indicative of moderate accuracy for this standard [8], cyst size was determined to be a significant factor affecting the preoperative diagnostic accuracy. The cut-off point for cyst size deduced using ROC curve analysis was 28 mm (sensitivity = 0.722, specificity = 0.823). Therefore, cysts smaller than 28 mm were more often diagnosed inaccurately.

We then focused on the fluid content of the cysts. Mucinous cysts are smaller, rounder, and more difficult to diagnose than serous cysts. We speculate that the morphological similarity to solid tumors due to the increased viscosity and concentration of fluid is responsible for the low diagnostic accuracy of mucinous cysts. MRI, FDG-PET, and enhanced CT are performed in a limited number of patients in our department. MRI is a valid modality for cyst diagnosis [1]. Although there was no difference in the diagnostic accuracy with the inclusion or exclusion of MRI in this study, we believe that selection bias was the reason for this result. Regarding FDG-PET, its diagnostic value has not been fully clarified. It has been reported that FDG uptake by thymic cysts is low, which is in contrast to the high FDG uptake by high-risk thymomas [9, 10]. On the contrary, FDG uptake can be observed in patients with normal thymus or thymic hyperplasia [11], and no FDG uptake was occasionally observed in patients with low-risk thymoma. As our result suggested, FDG-PET is merely an auxiliary diagnostic modality for anterior mediastinal cysts at this time. Contrast CT was apparently superior to non-contrast CT in the diagnostic accuracy for cysts under 28 mm in size or cysts with mucinous fluid content. Although contrast CT should be selected especially for these cases, we must remember that incorrect diagnoses often occur for cysts under 28 mm in size, even with contrast CT.

Anterior mediastinal cysts fall into 2 categories; the first is unilocular and congenital, and the second is multilocular and acquired. Multilocular cysts are morphologically and pathogenetically unrelated to unilocular cysts [12, 13]. There was

no difference in the diagnostic accuracy for unilocular and multilocular cysts in our study.

All the operated cases (n=17) with preoperative cyst diagnoses in our institution were also pathologically cysts. Although we could not evaluate the diagnostic accuracy of preoperative cyst diagnoses because most of cases with the preoperative cyst diagnosis had been observed without surgery, we believe the preoperative cyst diagnosis has a high diagnostic accuracy.

The diagnostic accuracy depends on the performance of medical device. However, in our study, the diagnostic accuracy (0.58) in the former period (2001-2007) was not inferior to that (0.50) in the latter period (2008-2013).

### ***Study limitation***

In this retrospective study, the selection of diagnostic imaging methods was left to each doctor's judgment. Because we did not evaluate the true sensitivity or specificity, the values obtained are a rough estimate.

### **Conclusion**

We examined the preoperative diagnosis of surgically resected primary anterior mediastinal cysts. The preoperative diagnostic accuracy for anterior primary mediastinal cysts was relatively low. The presence of cysts smaller than 28 mm and mucinous fluid content were possible factors resulting in inaccurate preoperative diagnosis.

### **Conflict of interest**

1 The authors of this manuscript have no conflicts of interest to disclose.

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**Table 1. Patient characteristics**

Variables	Number [range]
Sex (M/F)	21/14
Age, y [range]	59 [20–79]
Preoperative diagnosis	
Cystic disease	18
Thymoma	15
Thymic carcinoma	1
Teratoma	1
Cyst size, mm [range]	30.9 [5–80]
CT (performed/not performed)	35/0
with contrast/without contrast	12/23
MRI (performed/not performed)	17/18
FDG-PET (performed/not performed)	14/21
Fluid content	
Mucinous	15
Serous	14
Unknown	6
Accuracy of preoperative diagnosis	
Correct/ Incorrect	18/17
Pathology	
Thymic cyst	20
Bronchogenic cyst	7
Pericardial cyst	5
Lymphoepithelial cyst	1
Parathyroid cyst	1
Mesothelial cyst	1
Category of cyst	
Unilocular cyst	28
Multilocular cyst	7



**Table 2. Relation of variables with correct and incorrect preoperative diagnoses**

	Correct (n = 18)	Incorrect (n = 17)	p-value
Sex			0.027
Men	14	7	
Women	4	10	
Age (y)	55.7 ± 17.0	55.7 ± 15.2	0.716
Cyst size (mm)	40.2 ± 18.8	21.1 ± 10.4	0.001
Fluid content			< 0.001
Mucinous fluid	3	12	
Serous fluid	13	1	
CT enhancement			0.725
with contrast	7	5	
without contrast	11	12	
MRI			0.862
Performed	9	8	
Not performed	9	9	
FDG-PET accumulation			0.801
Negative	6	5	
Positive	2	1	
Not performed	11	10	
Pathology			0.010
Thymic cyst	9	11	
Bronchogenic cyst	2	5	
Pericardial cyst	4	1	
Miscellaneous	3	0	
Category of cyst			0.735
Unilocular cyst	14	14	
Multilocular cyst	4	3	
Oblateness on CT	0.675	0.658	0.770

Oblateness on CT = Diameter crossed at right angle / maximum diameter on CT

**Table 3. Characteristics of the fluid content of the cyst**

	<b>Mucinous (n = 15)</b>	<b>Serous (n = 14)</b>	<b><i>p</i>-value</b>
Size (mm)	24.7 ± 13.5	40.9 ± 20.4	0.026
Oblateness on CT	0.739 ± 0.164	0.614 ± 0.138	0.045
Diagnosis			< 0.001
Correct	3	13	
Incorrect	12	1	

Oblateness on CT = Diameter crossed at right angle / maximum diameter on CT

**Table 4. Diagnosis by cyst size and fluid content with or without contrast CT**

	With contrast	Without contrast	<i>p</i> -value*
<b>Cyst size</b>			
Cyst size $\geq 28$ mm			
correct/ incorrect	4/0	9/3	0.012
Cyst size $< 28$ mm			
correct/ incorrect	3/5	2/9	
<b>Fluid content</b>			
Serous fluid			
correct/ incorrect	4/1	9/0	<0.001
Mucinous fluid			
correct/ incorrect	2/1	1/11	
*; Comparing between the group without contrast CT			

## Figure Legends

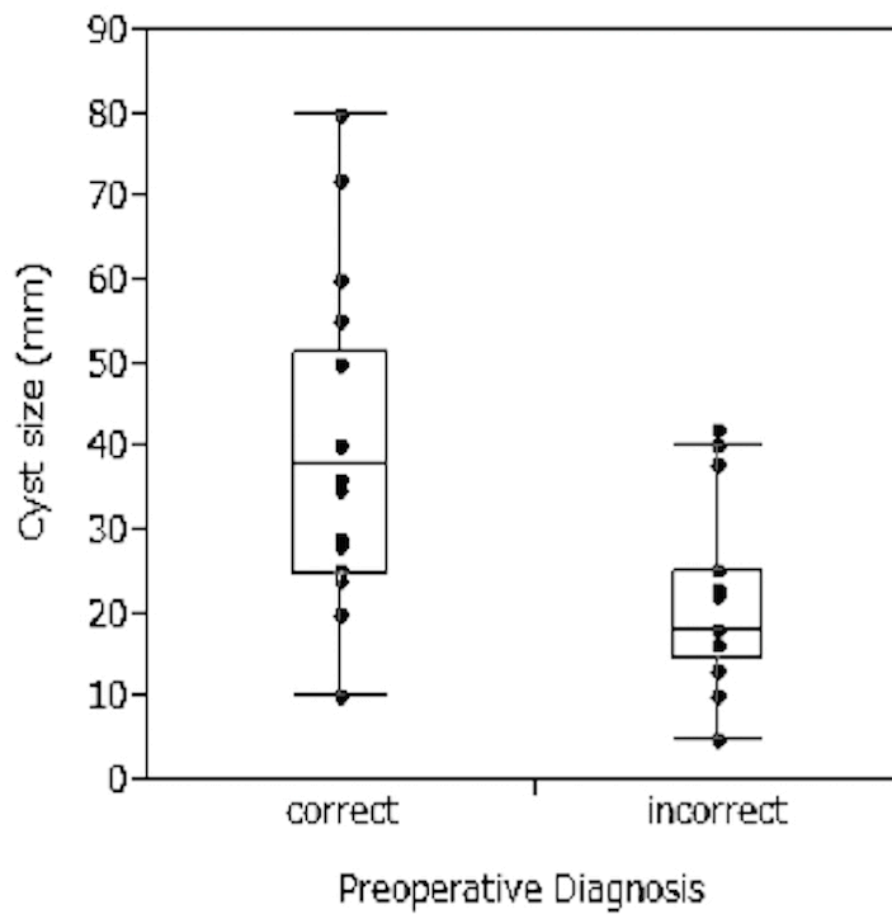
### Figure 1

Preoperative diagnosis according to cyst size. Cysts were significantly ( $p = 0.0011$ ) larger in the correct diagnosis group than in the incorrect diagnosis group.

### Figure 2

A sensitivity of 0.722 and a false positivity value ( $1 - \text{specificity}$ ) of 0.177 comprised the left upper corner of the curve (marked) with the corresponding cut-off value of the cyst size (28 mm). The area under the receiver operating characteristic curve was 0.825.

**Fig. 1** Preoperative diagnosis according to cyst size



**Fig. 2** Receiver operating characteristic curve analysis

